



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Steven C. Bailey, et al.
Serial No.: 10/052,642
Filing Date: January 18, 2002
Title: Database System Facilitating Textual Searching

Atten: Box Missing Parts

Assistant Commissioner for
Patents
Washington, DC 20231

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

This is in response to the Notice to File Missing Parts of Nonprovisional Application Under 37 CFR 1.53(b) – Filing Date Granted, mailed February 27, 2002. Applicants submit herewith:

- a) A copy of the Notice to File Missing Parts of Application – Filing Date Granted;
- b) Executed Declaration and Power of Attorney document for the above-referenced patent application, which was filed January 18, 2002.
- c) A check in the amount of \$130.00 is enclosed for the payment of the surcharge as a large entity;
- d) Transmittal of Formal Drawings to the attention of the Official Draftsman transmitting substitute formal drawings in compliance with 37 C.F.R. § 1.84 which correct the margins;
- e) An executed Assignment for the above-referenced patent application from the inventors to Caterpillar Inc. (along with a copy of the Declaration and Power of

Attorney). The Assignment Transmittal Form and filing fee in the amount of \$40.00 are included herewith;

f) An executed Assignment for the above-referenced patent application from Caterpillar Inc. to i2 Technologies US, Inc. The Assignment Transmittal Form and filing fee in the amount of \$40.00 are included herewith.

The Commissioner is hereby authorized to charge any additional fee or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,
Attorneys for Applicants



Christopher W. Kennerly
Reg. No. 40,675

CWK/bt

Correspondence Address:

Baker Botts L.L.P.
2001 Ross Avenue, 6th Floor
Dallas, Texas 75201-2980
(214) 953-6812

Date: 3/15/02

Attached:

Notice to File Missing Parts of Nonprovisional Application (Copy)
Declaration and Power of Attorney
Check for \$130.00
Transmittal of Substituted Formal Drawings and 49 sheets of drawings
Assignment Recordation Form (assigning rights from inventors to Caterpillar Inc.)
Assignment
Check for \$40.00
Assignment Recordation Form (assigning rights from Caterpillar Inc. to i2 Technologies US, Inc.)
Assignment
Check for \$40.00



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/052,642 | 01/18/2002 | Steven C. Bailey | 020431.0834 |

05073
 BAKER BOTTS L.L.P.
 2001 ROSS AVENUE
 SUITE 600
 DALLAS, TX 75201-2980

CONFIRMATION NO. 5633

FORMALITIES LETTER



OC000000007544410

Date Mailed: 02/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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03-18-02

MP
PATENT
10/052,642



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Steven C. Bailey, et al.
Serial No.: 10/052,642
Filing Date: January 18, 2002
For: Database System Facilitating Textual Searching

BOX: MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Response to Notice to File Missing Parts of Nonprovisional Application, Notice to File Missing Parts of Nonprovisional Application (copy), Declaration and Power of Attorney, Transmittal of Substitute Formal Drawings with 49 pages of drawings, two Assignment Recordation Forms with Assignments, and checks for \$130.00, \$40.00, and \$40.00 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 14th day of March, 2002, and are addressed to Box: Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.



Levado Hamilton

Express Mail Receipt
No. EL930006925US
Attorney's Docket No.:
020431.0834

020431.0834



10/052,642

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Steven C. Bailey, et al.
Serial No.: 10/052,642
Filed: January 18, 2001
Title: Database System Facilitating Textual Searching

ATTENTION: OFFICIAL DRAFTSMAN

Box: Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

TRANSMITTAL OF SUBSTITUTE FORMAL DRAWINGS

Pursuant to the Notice to File Missing Parts of Nonprovisional Application mailed February 27, 2002, and pursuant to 37 C.F.R. § 1.84, transmitted herewith for filing in the above-identified patent application are 49 sheets of substituted formal drawings correcting the margins.

The Commissioner is hereby authorized to charge any additional fee or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,
BAKER BOTTs L.L.P.
Attorneys for Applicants

Christopher W. Kennerly

Christopher W. Kennerly
Registration No. 40,675

CWK/bt

Correspondence Address:

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2001 Ross Avenue, 6th Floor
Dallas, TX 75201-2980
(214) 953-6812

Date 3/14/02